

# TRANSMITTAL FORM

Application Serial Number

10 Rec'd PCT/PTO 06 MAR 2000 #4

Filing Date

November 16, 1999

First Named Inventor

Tani

Group Art Unit

Not yet assigned

Examiner Name

Not yet assigned

Attorney Docket No.

FJN-077

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Check Attached  
☐ Copy of Fee  
Transmittal Form

☐ Amendment/Response

☐ After Final  
☐ Affidavits/declaration(s)  
☐ Letter to Official  
Draftsperson  
including Drawings  
[Total Sheets \_\_\_\_]

☒ Extension of Time Request

☐ Information Disclosure  
Statement

☐ Form PTO-1449

☐ Copies of IDS Citations

☐ Certified Copy of Priority  
Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Copy of Notice to File Missing  
Parts of Application (PTO-1553)

☐ Formal Drawing(s)

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition to  
Convert to a Provisional  
Application

☐ Power of Attorney  
(Revocation of Prior Powers)

☐ Terminal Disclaimer

☒ Executed Declaration and Power of  
Attorney for Utility or Design  
Patent Application

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Patent Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Status Letter

☒ Return Receipt Postcard

☒ Certificate of First Class Mailing  
under 37 C.F.R. 1.8

☒ Additional Enclosure(s)  
(please identify below)  
Notification of Missing Requirements Under  
35 USC 371 in the U.S. Designated/Elected  
Office (DO/EO/US) (2 pgs.)

Copy of Petition of Extension of Time  
authorizing \$110.00 charged to Dep. Acct.  
No. 20-0531


## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: 2/28/00  
Reg. No. 27,829  
Tel. No.: (617) 248-7589  
Fax No.: (617) 248-7100

Respectfully submitted,

  
Edmund R. Pitcher  
Attorney for Applicant(s)  
Testa, Hurwitz & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

# FEE TRANSMITTAL

Note: Effective January 10, 2000.  
Patent fees are subject to annual revision

Complete if Known

|                           |                   |
|---------------------------|-------------------|
| Application Serial Number | 09/423,905        |
| Filing Date               | November 16, 1999 |
| First Named Inventor      | Tani              |
| Group Art Unit            | Not yet assigned  |
| Examiner Name             | Not yet assigned  |
| Attorney Docket No.       | FJN-077           |

## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description   | Fee Paid |
|-----------------------|-----------------------|---|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath                             | 130.00   |
| 50                    | 25                    | Surcharge - late provisional filing fee or                      |          |
| 130                   | 130                   | Non-English specification                                       |          |
| 2,520                 | 2,520                 | For filing a request for reexamination                          |          |
| 110                   | 55                    | Extension for reply within first month                          |          |
| 380                   | 190                   | Extension for reply within second month                         |          |
| 870                   | 435                   | Extension for reply within third month                          |          |
| 1,360                 | 680                   | Extension for reply within fourth month                         |          |
| 1,850                 | 925                   | Extension for reply within fifth month                          |          |
| 300                   | 150                   | Notice of Appeal  |          |
| 300                   | 150                   | Filing a brief in support of an appeal                          |          |
| 260                   | 130                   | Request for oral hearing  |          |
| 130                   | 130                   | Petitions to the Commissioner                                   |          |
| 50                    | 50                    | Petitions related to provisional applications                   |          |
| 240                   | 240                   | Submission of Information Disclosure Statement (37 CFR 1.97(c)) |          |
| 130                   | 130                   | Submission of Information Disclosure Statement (37 CFR 1.97(d)) |          |
| 690                   | 345                   | Filing a submission after final rejection (37 CFR 1.129(a))     |          |
| 690                   | 345                   | For each additional invention to be examined (37 CFR 1.129(b))  |          |
|                       |                       | Other (Specify)   |          |

## FEE CALCULATION

### 1. FILING FEE

#### Large Entity

| Fee (\$) | Fee Description        | Fee Paid |
|----------|------------------------|----------|
| 690      | Utility filing fee     |          |
| 310      | Design filing fee      |          |
| 150      | Provisional filing fee |          |

| Number Filed | Number Extra | Rate | Amount |
|--------------|--------------|------|--------|
|--------------|--------------|------|--------|

Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 78.00 =

☐ Multiple Dependent Claim(s), if any \$260.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 0.00

### 2. AMENDMENT CLAIM FEES

| Claims Remaining After Amend. | Highest No. Previously Paid For | Present Extra | Rate | Fee Paid |
|-------------------------------|---------------------------------|---------------|------|----------|
|-------------------------------|---------------------------------|---------------|------|----------|

Total - = x \$ 18.00 =

Indep. - = x \$ 78.00 =

☐ First Presentation of Multiple Dep. Claim + \$260.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)0.00

SUBTOTAL (3) (\$) 130.00

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 130.00

TOTAL (\$) 130.00

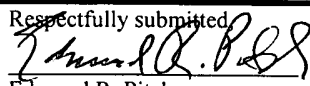
## CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
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Respectfully submitted  
  
 Edmund R. Pitcher  
 Attorney for the Applicants  
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 High Street Tower-125 High Street  
 Boston, MA 02110

PATENT  
Attorney Docket No. FJN-077

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Tani et al.  
SERIAL NO.: 09/423,905 GROUP NO.: Not yet assigned  
FILING DATE: November 16, 1999 EXAMINER: Not yet assigned  
TITLE: Therapeutic Agent For Preventing And/Or Treating Sepsis

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 28th day of February, 2000.

  
Sarah J. Blackwell

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Certificate of First Class Mailing (1 pg.); Fee Transmittal (1 pg.); Transmittal Form (1 pg.); Petition of Extension of Time Under 37 CFR 1.136(a) (1 pg.) (One Month Extension of Time); Copy of Petition of Extension of Time (1 pg.); (Authorization to Charge \$110.00 to Deposit Account No. 20-0531); Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (2 pgs.); Declaration and Power of Attorney For Utility or Design Patent Application (3 pgs.); Check in the amount of \$130.00; and postcard.